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**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: Edwin A. Suominen

Application No./Patent No.: 7116963 Filed/Issue Date: 10-03-2006

Titled: SIMPLIFIED HIGH FREQUENCY TUNER AND TUNING METHOD

University of Washington

, a University

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest in;
2. ☐ an assignee of less than the entire right, title, and interest in  
(The extent (by percentage) of its ownership interest is \_\_\_\_\_ %); or
3. ☐ the assignee of an undivided interest in the entirety of (a complete assignment from one of the joint inventors was made)

the patent application/patent identified above, by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy therefore is attached.

**OR**

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

2. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

3. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet(s).

☐ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

/Fiona Wills/

Signature

July 15, 2010

Date

Fiona Wills

Printed or Typed Name

Director Invention Licensing

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

## Privacy Act Statement

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (*i.e.*, GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Inventor's ASSIGNMENT of INVENTION(S) to the  
UNIVERSITY OF WASHINGTON

WHEREAS, I, Edwin A. Suominen (hereinafter referred to as ASSIGNOR) having a post office address of 16529 28th Avenue West Apt. A, Lynnwood, WA 98037, am the Inventor in the INVENTION(S) referred to as "SSB Demodulator Tunable Across a Wide Frequency Range with Coarse Tuning done by Analog Means and Fine Tuning Performed by Digital Means" as described in a disclosure submitted to the University of Washington on March 23, 1995 and assigned an Office of Technology Transfer (OTT) file Number of 03-95-37, and whereas this Assignment is intended to cover the INVENTION(S) identified in that disclosure as developed by me at the University of Washington, as a product of my research at the University of Washington:

WHEREAS, the UNIVERSITY OF WASHINGTON (hereinafter referred to as ASSIGNEE), a public institution of higher education having a place of business at Seattle, Washington is desirous of acquiring the ASSIGNOR'S entire right, title and interest in and to the INVENTION(S) and in and to any letters patent that may be granted therefor in the United States and in any and all foreign countries:

NOW, THEREFORE, for sufficient, good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR hereby sells, assigns and transfers unto ASSIGNEE the entire right and title to and interest in said application and said INVENTION(S) for the United States of America and its territorial possessions and all foreign countries, and the entire right, title and interest in and to any and all letters patents which may be granted therefor in the United States of America and its territorial possessions and in any and all foreign countries, and in any and all divisions, reissues and continuations thereof, including the right to claim priority rights deriving from said United States application by virtue of the International Convention, said INVENTION(S) and all applications and patents on said INVENTION(S) to be held and enjoyed by ASSIGNEE as entirely as the same would have been held and enjoyed by ASSIGNOR had this sale, assignment and transfer not been made. ASSIGNOR hereby authorizes and requests the Commissioner of Patents and Trademarks to issue all letters patent(s) on said INVENTION(S) to ASSIGNEE. ASSIGNOR hereby further agrees and promises to execute all instruments and render all such assistance as ASSIGNEE may request in order to make and prosecute any and all applications on said INVENTION(S), to enforce any and all patents on said INVENTION(S), and to confirm in ASSIGNEE legal title to said INVENTION(S) and all applications and patents on said INVENTION(S), all without charge to ASSIGNEE but at no expense to ASSIGNOR.

  
Edwin A. Suominen

Date

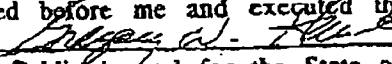
95 / APR / 20

STATE OF WASHINGTON )

) ss

County of King )

On this 20<sup>th</sup> day of April 1995, Edwin A. Suominen personally appeared before me and executed the foregoing document.

  
Notary Public in and for the State of Washington

Residing at: Kabullee, WA My Commission expires: Nov 4, 1995

